

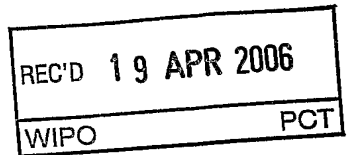
# PATENT COOPERATION TREATY



# PCT

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference Case 22239WO		<b>FOR FURTHER ACTION</b>		See Form PCT/PEA/416
International application No. PCT/EP2005/000795		International filing date (day/month/year) 27.01.2005		Priority date (day/month/year) 04.02.2004
International Patent Classification (IPC) or national classification and IPC INV. C07C45/51 C07C49/203				
Applicant DSM IP ASSETS B.V.				
<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input type="checkbox"/> sent to the applicant and to the International Bureau a total of sheets, as follows:</p> <p><input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p> <p>b. <input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing a sequence listing and/or tables related thereto, in electronic form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p>				
<p>4. This report contains indications relating to the following items:</p> <p><input checked="" type="checkbox"/> Box No. I Basis of the report</p> <p><input type="checkbox"/> Box No. II Priority</p> <p><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p><input type="checkbox"/> Box No. VI Certain documents cited</p> <p><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p><input type="checkbox"/> Box No. VIII Certain observations on the international application</p>				
Date of submission of the demand  13.08.2005		Date of completion of this report  12.04.2006		
Name and mailing address of the international preliminary examining authority:  European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016		Authorized officer  Wright, M  Telephone No. +31 70 340- 		

**INTERNATIONAL PRELIMINARY REPORT  
ON PATENTABILITY**

International application No.  
PCT/EP2005/000795

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**Box No. I Basis of the report**

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1. With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ This report is based on translations from the original language into the following language , which is the language of a translation furnished for the purposes of:
- ☐ international search (under Rules 12.3 and 23.1(b))
  - ☐ publication of the international application (under Rule 12.4)
  - ☐ international preliminary examination (under Rules 55.2 and/or 55.3)
2. With regard to the **elements\*** of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:

**Description, Pages**

1-4 as originally filed

**Claims, Numbers**

1-10 as originally filed

- ☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing
3. ☐ The amendments have resulted in the cancellation of:
- ☐ the description, pages
  - ☐ the claims, Nos.
  - ☐ the drawings, sheets/figs
  - ☐ the sequence listing (*specify*):
  - ☐ any table(s) related to sequence listing (*specify*):
4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
- ☐ the description, pages
  - ☐ the claims, Nos.
  - ☐ the drawings, sheets/figs
  - ☐ the sequence listing (*specify*):
  - ☐ any table(s) related to sequence listing (*specify*):

\* If item 4 applies, some or all of these sheets may be marked "superseded."

**INTERNATIONAL PRELIMINARY REPORT  
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**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

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1. Statement

Novelty (N)	Yes: Claims	1-10
	No: Claims	
Inventive step (IS)	Yes: Claims	1-10
	No: Claims	
Industrial applicability (IA)	Yes: Claims	1-10
	No: Claims	

2. Citations and explanations (Rule 70.7):

**see separate sheet**

**Re Item V**

**Reasoned statement with regard to novelty, inventive step or industrial applicability;  
citations and explanations supporting such statement**

Reference is made to the following documents:

D1: DE-B-1193490

D2: DE-A-19649564

D3: Organic Preparations and Procedures, Vol. 19, No. 6, pp 435-438

D4: EP-A-784042

D5: EP-A-1227089.

Novelty.

D1, D2 and D3 disclose the preparation of 2-methyl-2-hepten-6-one from 2-methyl-3-buten-2-ol and isopropenyl methyl ether in the presence of, respectively, phosphoric acid, diphenyl phosphate and mercuric acetate.

The subject-matter of claim 1 of the present application is therefore novel by virtue of the catalysts used (Article 33(2) PCT). Claims 2-10 are dependent on claim 1 and therefore also relate to novel subject-matter.

Inventive step.

In the processes according to D1 and D2 the product is obtained in 93-94% and 86% yield, respectively, which is similar to the highest yields obtained by the processes of the present invention.

The problem to be solved by the present invention is therefore seen as the provision of alternative catalysts for the preparation of 2-methyl-2-hepten-6-one from 2-methyl-3-buten-2-ol and isopropenyl methyl ether.

There is no indication in the prior art that hydrogen bis(oxalato)borate or hydrogen tris(oxalato)phosphate could be used for this reaction, which proceeds via a Claisen rearrangement. D4 discloses the use of hydrogen bis(oxalato)borate as a catalyst for

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REPORT ON PATENTABILITY  
(SEPARATE SHEET)**

International application No.

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Friedel-Crafts condensations, vinyl ether condensations of acetals and acylation of phenols. D5 discloses the use of hydrogen tris(oxalato)phosphate as a catalyst for the preparation of  $\alpha$ -tocopherol from trimethylhydroquinone and isophytol. The use of these catalysts in the present case is thus not obvious. In particular the high conversions and high yields obtained using hydrogen tris(oxalato)phosphate could not have been expected.

The subject-matter of claim 1, and of dependent claims 2-9, therefore satisfies the requirements of Article 33(3)PCT.